

THE YAMUNA SYNDICATE LIMITED

(Regd. Office : Radaur Road, Yamunanagar-135 001)(Haryana)

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CIN : U24101HR1954PLC001837, Website : www.yamunasyndicate.com

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

General information about company

1	Scrip code	417299
2	Name of the company	THE YAMUNA SYNDICATE LIMITED
3	Whether company is SME	No
4	Class of Security	Equity Shares
5	Type of report	Quarterly
6	Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31.12.2017
7	Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	

Sr. No.	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	NO
2	Whether the Listed Entity has issued any Convertible Securities?	NO
3	Whether the Listed Entity has issued any Warrants?	NO
4	Whether the Listed Entity has any shares against which depository receipts are issued?	NO
5	Whether the Listed Entity has any shares in locked-in?	NO
6	Whether any shares held by promoters are pledge or otherwise encumbered?	NO
7	Whether company has equity shares with differential voting rights?	NO

For The Yamuna Syndicate Limited

(Ashish Kumar)
Company Secretary

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) = as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) + (X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (*)	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg : X	Class eg :Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	5	230111	0	0	230111	74.87	230111	0	230111	74.87	0	74.87	0	0	0	0	230111
(B)	Public	195	77254	0	0	77254	25.13	77254	0	77254	25.13	0	25.13	0	0	0	0	60907
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	200	307365	0	0	307365	100	307365	0	307365	100	0	100	0	0	0	0	291018

(*) As the Bonus Shares are allotted only on September 9, 2017, shareholding in dematerialized form is inclusive of such Bonus Shares, credit of which was in process in the respective demat account of the shareholders as on 30.09.2017 and have been credited during succeeding month of October, 2017.

For The Yamuna Syndicate Limited

(Ashish Kumar)
Company Secretary

A. Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	No. Of partly paid-up equity shares held	No. Of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) = as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities - as a percentage of diluted share capital) (X) = (VII) + (X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)	
								Class eg : X	Class eg :Y	Total									
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)			(x)	(xi)	(xii)	(xiii)	(xiv)				
(1) Indian																			
(a) Individuals/Hindu undivided Family :			5	230111	0	0	230111	74.87	230111	0	230111	74.87	0	74.87	0	0	0	0	230111
-Ranjit Puri	AJXPP7490B	1	77386	0	0	77386	25.18	77386	0	77386	25.18	0	25.18	0	0	0	0	0	77386
-Ranjit Puri (HUF)	AA8HR3398P	1	70642	0	0	70642	22.98	70642	0	70642	22.98	0	22.98	0	0	0	0	0	70642
-Aditya Puri	AAYPPE239Q	1	60859	0	0	60859	19.80	60859	0	60859	19.80	0	19.80	0	0	0	0	0	60859
-Nina Puri	AAANPP6958R	1	13939	0	0	13939	4.53	13939	0	13939	4.53	0	4.53	0	0	0	0	0	13939
-Tanu Priya Puri	AJXPP1808L	1	7285	0	0	7285	2.37	7285	0	7285	2.37	0	2.37	0	0	0	0	0	7285
(b) Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub-Total (A)(1)		5	230111	0	0	230111	74.87	230111	0	230111	74.87	0	74.87	0	0	0	0	0	230111
(2) Foreign																			
(a) Individuals (NonResident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		5	230111	0	0	230111	74.87	230111	0	230111	74.87	0	74.87	0	0	0	0	0	230111
Details of Shares which remain unclaimed for Promoter & Promoter Group		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

For The Yamuna Syndicate Limited

 (Ashish Kumar)
 Company Secretary

B. Table III - Statement showing shareholding pattern of the Public shareholder

(I)	Category & Name of the Shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	No. Of partly paid-up equity shares held	No. Of shares underlying Depository Receipts	Total nos. shares held (VII)= (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) = as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)= (VII) + b) as a % of (A+B+C2)	Number of Locked in shares (XIII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
									Class eg : X	Class eg :Y	Total								
			(III)	(IV)	(V)	(VI)	(VII)	(VIII)		(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)	(XVI)	(XVII)	(XVIII)	
(1)	Institutions																		
(a)	Mutual Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(f)	Financial Institutions/ Banks		2	94	0	0	94	0.03	94	0	94	0.03	0	0.03	0	0	0	0	25
(g)	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(i)	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(1)		2	94	0	0	94	0.03	94	0	94	0.03	0	0.03	0	0	0	0	25
(2)	Central Government/ State Government(s)/ President of India (Investor Education & Protection Fund Authority)	EXEMPTONG	1	2688	0	0	2688	0.87	2688	0	2688	0.87	0	0.87	0	0	0	0	2688
	Sub-Total (B)(2)		1	2688	0	0	2688	0.87	2688	0	2688	0.87	0	0.87	0	0	0	0	2688
(3)	Non-institutions																		
(a)(i)	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		177	10474	0	0	10474	3.41	10474	0	10474	3.41	0	3.41	0	0	0	0	1968

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Category & Name of the Shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. Of partly paid-up equity shares held	No. Of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VII) = as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII) = (VI) + (x) as a % of (A+B+C2)	Number of Locked in shares (XIII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg : X	Class eg :Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XIII)		(XIV)			
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		4	57032	0	0	57032	18.56	57032	0	57032	18.56	0	18.56	0	0	0	0	49644
-Ramesh Malhan	AASPM5383L	1	42846	0	0	42846	13.94	42846	0	42846	13.94	0	13.94	0	0	0	0	42846
-Dharan Bir Malik	AAHPM8931F	1	7028	0	0	7028	2.29	7028	0	7028	2.29	0	2.29	0	0	0	0	0
-Arvind Malhan	AHAPM6744J	1	4080	0	0	4080	1.33	4080	0	4080	1.33	0	1.33	0	0	0	0	3880
-Sujata Vireadherajan	ACKPV3748E	1	3078	0	0	3078	1.00	3078	0	3078	1.00	0	1.00	0	0	0	0	2918
(b) NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

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Category & Name of the Shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	No. Of partly paid-up equity shares held	No. Of shares underlying Depository Receipts	Total nos. shares held (VII)= (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) = as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)= (VIII) + (x) as a % of (A+B+C2)	Number of Locked in shares (XI)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg : X	Class eg :Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIV)			
(a) Any Other (specify)		11	6966	0	0	6966	2.27	6966	0	6966	2.27	0	2.27	0	0	0	0	6582
NRI – Repat		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRI – Non- Repat		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
Enemy Property -Pakistani Resident		1	176	0	0	176	0.06	176	0	176	0.06	0	0.06	0	0	0	0	88
Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
Director or Director's Relatives		5	348	0	0	348	0.11	348	0	348	0.11	0	0.11	0	0	0	0	112
Bodies Corporate		4	1686	0	0	1686	0.55	1686	0	1686	0.55	0	0.55	0	0	0	0	1626
PARASRAM COMMODITIES PVT. LTD.	AAACS4492D	1	4756	0	0	4756	1.55	4756	0	4756	1.55	0	1.55	0	0	0	0	4756
Sub-Total (B)(3)		192	74472	0	0	74472	24.23	74472	0	74472	24.23	0	24.23	0	0	0	0	58194
Total Public Shareholding (B)=(B)(1)+(B)(2)+B(3)		195	77254	0	0	77254	25.13	77254	0	77254	25.13	0	25.13	0	0	0	0	60907
Details of the shareholders acting as persons in Concert for Public		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Details of Shares which remain unclaimed for Public		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

For The Yamuna Syndicate Limited

(Ashish Kumar)
Company Secretary

C. Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	No. Of partly paid-up equity shares held	No. Of shares underlying Depository Receipts	Total nos. shares held (VII)= (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) = as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)= (VII) + (X) as a % of (A+B+C2)	Number of Locked in shares (XIII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg : X	Class eg : Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XIII)		(XIV)			
(1) Custodian/DR Holder - Name of DR Holders (if Available)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Total (A+B+C)		200	307365	0	0	307365	100	307365	0	307365	100	0	100	0	0	0	291018	

Note : The above formats needs to disclose name & PAN of all holders holding more than 1% of total number of shares

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(Ashish Kumar)
Company Secretary