

<b>General information about company</b>	
Scrip code	540980
NSE Symbol	
MSEI Symbol	
ISIN	INE868X01014
Name of the entity	THE YAMUNA SYNDICATE LIMITED
Date of start of financial year	01-04-2019
Date of end of financial year	31-03-2020
Reporting Quarter	Yearly
Date of Report	31-03-2020
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Top 2000 listed entities

## Annexure I

## Annexure I to be submitted by listed entity on quarterly basis

## I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory																				
Whether the listed entity has a Regular Chairperson																			Yes	
Is there any change in information of board of directors compare to previous quarter																				
Whether Chairperson is related to MD or CEO																			No	
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	RANJIT PURI	AJXPP7490B	00052459	Non-Executive - Non Independent Director	Chairperson		04-03-1940	Yes	16-03-2019	01-05-1996	10-08-2019			3	0	0	2		
2	Mr	ADITYA PURI	AAAYPP6239Q	00052534	Non-Executive - Non Independent Director	Not Applicable		04-12-1967	NA		23-11-1996	07-08-2018			2	0	3	0		
3	Mrs	REVA KHANNA	AAAPK4117G	00413270	Non-Executive - Independent Director	Not Applicable		22-08-1942	Yes	16-03-2019	09-09-2017			30	1	1	1	1		
4	Mr	KAPIL BHALLA	AFBPPB3069G	00758498	Non-Executive - Independent Director	Not Applicable		24-07-1956	NA		14-11-2019			5	1	1	1	0		

**II. Composition of Committees**

Annexure 1

Disclosure of notes on composition of committees explanatory	
Is there any change in information of committees compare to previous quarter	Yes

**Audit Committee Details**

Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00413270	REVA KHANNA	Non-Executive - Independent Director	Chairperson	10-08-2019		
2	00052534	ADITYA PURI	Non-Executive - Non Independent Director	Member	26-05-2011		
3	00758498	KAPIL BHALLA	Non-Executive - Independent Director	Member	14-11-2019		

**Nomination and remuneration committee**

Add Notes

Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00413270	REVA KHANNA	Non-Executive - Independent Director	Chairperson	10-08-2019		
2	00052534	ADITYA PURI	Non-Executive - Non Independent Director	Member	19-05-2014		
3	00758498	KAPIL BHALLA	Non-Executive - Independent Director	Member	14-11-2019		

**Stakeholders Relationship Committee**

Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00052459	RANJIT PURI	Non-Executive - Non Independent Director	Chairperson	10-08-2019		
2	00052534	ADITYA PURI	Non-Executive - Non Independent Director	Member	26-05-2011		
3	00413270	REVA KHANNA	Non-Executive - Independent Director	Member	10-08-2019		

**Risk Management Committee (Not Applicable)**

Whether the Risk Management Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

**Corporate Social Responsibility Committee (Not Applicable)**

Whether the Corporate Social Responsibility Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

**Other Committee (Not Applicable)**

Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

**III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*	
1	14-11-2019			Yes	4	2	
2	13-02-2020	90		Yes	4	2	
3	23-03-2020	38		Yes	3	1	

**IV. Meeting of Committees**

Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	Audit Committee	14-11-2019				Yes	3	2
2	Audit Committee	13-02-2020	90			Yes	3	2
3	Nomination and remuneration committee	14-11-2019				Yes	3	2
4	Stakeholders Relationship Committee	23-03-2020				Yes	3	1

<b>Annexure 1</b>			
<b>V. Related Party Transactions</b>			
<b>Sr</b>	<b>Subject</b>	<b>Compliance status (Yes/No/NA)</b>	<b>If status is "No" details of non-compliance may be given here.</b>
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
<b>Disclosure of notes on related party transactions</b>			
<b>Disclosure of notes of material transaction with related party</b>			
<b>Annexure 1</b>			
<b>VI. Affirmations</b>			
<b>Sr</b>	<b>Subject</b>	<b>Compliance status (Yes/No)</b>	
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes	
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 <b>a. Audit Committee</b>	Yes	
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>b. Nomination &amp; remuneration committee</b>	Yes	
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>c. Stakeholders relationship committee</b>	Yes	
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>d. Risk management committee (applicable to the top 100 listed entities)</b>	NA	
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes	
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes	
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes	
9	<b>Any comments/observations/advice of Board of Directors may be mentioned here:</b>		

<b>Annexure 1</b>		
<b>Sr</b>	<b>Subject</b>	<b>Compliance status</b>
1	Name of signatory	ASHISH KUMAR
2	Designation	Company Secretary and Compliance Officer

#### **Signatory Details**

Name of signatory	ASHISH KUMAR
Designation of person	Company Secretary and Compliance Officer

## Annexure II

## Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

## I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		<a href="http://www.yamunasyndicate.com">www.yamunasyndicate.com</a>
2	Terms and conditions of appointment of independent directors	Yes		<a href="http://www.yamunasyndicate.com/YamunaSyndicateAppointmentLettersIndependentDirectors.html">http://www.yamunasyndicate.com/YamunaSyndicateAppointmentLettersIndependentDirectors.html</a>
3	Composition of various committees of board of directors	Yes		<a href="http://www.yamunasyndicate.com/CommitteesoftheBoardofDirectors.html">http://www.yamunasyndicate.com/CommitteesoftheBoardofDirectors.html</a>
4	Code of conduct of board of directors and senior management personnel	Yes		<a href="http://www.yamunasyndicate.com/downloads/Code_of_Conduct_for_members_of_Board_and_Senior_Management.pdf">http://www.yamunasyndicate.com/downloads/Code_of_Conduct_for_members_of_Board_and_Senior_Management.pdf</a>
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		<a href="http://www.yamunasyndicate.com/downloads/Vigil_Mechanism.pdf">http://www.yamunasyndicate.com/downloads/Vigil_Mechanism.pdf</a>
6	Criteria of making payments to non-executive directors	Yes		<a href="http://www.yamunasyndicate.com/CriteriaofMakingPaymentstoNonExecutiveDirectors.html">http://www.yamunasyndicate.com/CriteriaofMakingPaymentstoNonExecutiveDirectors.html</a>
7	Policy on dealing with related party transactions	Yes		<a href="http://www.yamunasyndicate.com/downloads/Policy_on_Related_Party_Transactions.pdf">http://www.yamunasyndicate.com/downloads/Policy_on_Related_Party_Transactions.pdf</a>
8	Policy for determining 'material' subsidiaries	NA		
9	Details of familiarization programmes imparted to independent directors	Yes		<a href="http://www.yamunasyndicate.com/downloads/Familiarization_Programme_For_Independent_Directors.pdf">http://www.yamunasyndicate.com/downloads/Familiarization_Programme_For_Independent_Directors.pdf</a>
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		<a href="http://www.yamunasyndicate.com/syndicatecontactus.html">http://www.yamunasyndicate.com/syndicatecontactus.html</a>
11	email address for grievance redressal and other relevant details	Yes		<a href="http://www.yamunasyndicate.com/syndicatecontactus.html">http://www.yamunasyndicate.com/syndicatecontactus.html</a>
12	Financial results	Yes		<a href="http://www.yamunasyndicate.com/yamunasyndicatefinancialreports.html">http://www.yamunasyndicate.com/yamunasyndicatefinancialreports.html</a>
13	Shareholding pattern	Yes		<a href="http://www.yamunasyndicate.com/DistributionSchedule.html">http://www.yamunasyndicate.com/DistributionSchedule.html</a>
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with	NA		
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	Yes		<a href="http://www.yamunasyndicate.com/OtherReporting.html">http://www.yamunasyndicate.com/OtherReporting.html</a>
18	Credit rating or revision in credit rating obtained	Yes		<a href="http://www.yamunasyndicate.com/OtherReporting.html">http://www.yamunasyndicate.com/OtherReporting.html</a>
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	NA		
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		<a href="http://www.yamunasyndicate.com/index.html">http://www.yamunasyndicate.com/index.html</a>
21	Materiality Policy as per Regulation 30	Yes		<a href="http://www.yamunasyndicate.com/downloads/Policy_for_determination_of_Materiality_of_events_information.pdf">http://www.yamunasyndicate.com/downloads/Policy_for_determination_of_Materiality_of_events_information.pdf</a>
22	Dividend Distribution policy as per Regulation 43A (as applicable)	NA		
23	It is certified that these contents on the website of the listed entity are correct	Yes		<a href="http://www.yamunasyndicate.com">www.yamunasyndicate.com</a>
24	Disclosure of notes on website in terms of Listing Regulations explanatory [Text Block]		Add Notes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
22	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	
23	Meeting of Risk Management Committee	21(3A)	NA	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
27	Approval for material related party transactions	23(4)	Yes	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA	
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	NA	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	NA	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
Any other information to be provided				

Annexure II		
1	Name of signatory	ASHISH KUMAR
2	Designation	Company Secretary and Compliance Officer

Annexure II		
III. Affirmations		
Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
Any other information to be provided		

Annexure II		
1	Name of signatory	ASHISH KUMAR
2	Designation	Company Secretary and Compliance Officer

Signatory Details	
Name of signatory	ASHISH KUMAR
Designation of person	Company Secretary and Compliance Officer
Place	YAMUNANAGAR
Date	11-05-2020