



# The Yamuna Syndicate Limited

Regd. Office : Radaur Road, Yamuna Nagar (Haryana)

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May 13, 2022

Manager-Department of Corporate Services,  
M/s. BSE Ltd.  
Registered Office : Floor 25,  
PJ Towers Dalal Street  
**Mumbai 4 00 001**

Dear Sirs

**Subject : Annual Secretarial Compliance Report for the financial year ended March 31, 2022**

1. Pursuant to Regulation 24A of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019 please find enclosed herewith the Annual Secretarial Compliance Report issued by M/s. Pramod Kothari Co. (Company Secretary in Practice), Secretarial Auditor of the Company, for the financial year ended March 31, 2022.
2. As per requirement, we have already filed aforesaid Annual Secretarial Compliance Report in xbrl mode.
3. This intimation is also being placed on the website of the Company at [www.yamunasyndicate.com](http://www.yamunasyndicate.com).
4. The above is for your information and records please.

For The Yamuna Syndicate Ltd.

(Ashish Kumar)  
Company Secretary

CORPORATE IDENTITY NUMBER (CIN)  
L24101HR199PLC001837

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**Secretarial Compliance Report  
THE YAMUNA SYNDICATE LIMITED  
(CIN: L24101HR1954PLC001837)  
For the Year Ended 31/03/2022**

We Pramod Kothari & Co. Practicing Company Secretaries have examined:

- (a) all the documents and records made available to us, and explanation provided by The Yamuna Syndicate Limited (**"the listed entity"**),
- (b) the filings/ submissions made by the listed entity to the stock exchange,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31/03/2022 (**"Review Period"**) in respect of compliance with the provisions of:
  - a) the Securities and Exchange Board of India Act, 1992 (**"SEBI Act"**) and the Regulations, circulars, guidelines issued there under; and
  - b) the Securities Contracts (Regulation) Act, 1956 (**"SCRA"**), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India (**"SEBI"**);

The specific Regulations, whose provisions and the circulars/ guidelines issued there under have been examined, include: -

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and amendment thereof;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 and amendment thereof;
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 and amendment thereof;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not Applicable to the company during reporting period).
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (Not Applicable to the company during reporting period).
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not Applicable to the company during reporting period).
- g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and amendment thereof;
- h) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; (Not Applicable to the Company during the Reporting Period)
- i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- j) SEBI (Delisting of Equity Shares) Regulations, 2009 (Not Applicable to the Company during the Reporting Period);
- k) SEBI (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client;
- l) Other regulations as applicable and circulars/guidelines issued thereunder.

And based on the above examination, I hereby report that, during the Review Period.

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under, except in respect of matters specified below: -

**PRAMOD KOTHARI & CO.  
COMPANY SECRETARIES**

S-7, Shreejee Complex, Sharma Market,  
Sector – 05, Noida (U.P.),  
Tel No. 0120-4249559/ 9873726758/8383959651  
Email ID: [ppdkothari71@gmail.com](mailto:ppdkothari71@gmail.com)/  
[cspramodkothari@gmail.com](mailto:cspramodkothari@gmail.com)

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
None			

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under insofar as it appears from our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g., fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
None				

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31/03/2021	Actions taken by the listed entity if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NONE				

**For Pramod Kothari & Co.**

Company Secretaries

PRAMOD

PRASAD

KOTHARI

Pramod Kothari

Proprietor

FCS No: 7091 CP No: 11532

Noida, May 10, 2022

Peer Review Certificate No. . 852/2020:

UDIN: F007091D000295500

Digitally signed by Pramod Kothari, DN: cn=PRAMOD KOTHARI, o=PRAMOD KOTHARI & CO., ou=Company Secretaries, email=ppdkothari71@gmail.com, c=IN