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HO/CS/

29.05.2019

Manager-Department of Corporate Services,  
BSE Limited,  
Registered Office :Floor 25,  
P J Towers, Dalal Street,  
Mumbai- 400 001

Dear Sir/Madam


**Furnishing of Information as per**  
**SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**  
**Scrip Code : 540980 Scrip Id : YSL**

Sub: Submission of Annual Secretarial Compliance Report for the year ended March 31, 2019

1. In terms of clause 3(b) (iii) of SEBI circular no. CIR/CFD/CMDI/27/2019 dated February 08, 2019, we are submitting the Annual Secretarial Compliance Report of the Company for the year ended March 31, 2019 issued by M/s. R.K. Bhalla & Associates, Company Secretaries and Secretarial Auditor of the Company.
2. The above is for your information and records please.

Thanking You,

Yours faithfully,  
For The Yamuna Syndicate Limited  
(Ashish Kumar)  
Company Secretary  
CS Membership Number: F7846



**R.K BHALLA & ASSOCIATES**

COMPANY SECRETARIES

90, Model Colony, Yamuna Nagar-135001 (Haryana)

Mobile: 9813276611

Email: rkbhallacs@yahoo.com

**SECRETARIAL COMPLIANCE REPORT**

**Of The Yamuna Syndicate Limited**

**For the Financial Year Ended 31<sup>st</sup> March, 2019**

(Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015)

We, R.K. Bhalla & Associates, Practicing Company Secretaries, through Rajinder Kumar Bhalla, proprietor, have examined:

- (a) all the documents and records made available to us and explanations provided by **The Yamuna Syndicate Limited** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended March 31, 2019 ("Review Period") in respect of compliance with the provisions of :

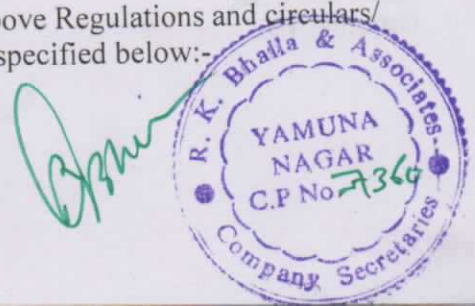
- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (e) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (f) Other regulations as applicable to the listing entity and circulars/ guidelines issued there under;

Based on the above examination, we hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there-under, except in respect of matters specified below:-



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COMPANY SECRETARIES

90, Model Colony, Yamuna Nagar-135001(Haryana)

Mobile: 9813276611

Email: rkbhallacs@yahoo.com

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
No such case found			

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under in so far as it appears from my/our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
No such case found				

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
Not Applicable to the listed entity considering it is the first year of implementation of this report				

Place: Yamuna Nagar  
Date: 28<sup>th</sup> May, 2019



For R.K. Bhalla & Associates  
Company Secretaries

Rajinder Kumar Bhalla  
(Proprietor)  
ACS No: 10525  
CP No: 7360