

General information about company	
Scrip code	540980
NSE Symbol	
MSEI Symbol	
ISIN	INE868X01014
Name of the entity	THE YAMUNA SYNDICATE LIMITED
Date of start of financial year	01-04-2018
Date of end of financial year	31-03-2019
Reporting Quarter	Yearly
Date of Report	31-03-2019
Risk management committee	Not Applicable

Annexure I															
Annexure I to be submitted by listed entity on quarterly basis															
I. Composition of Board of Directors															
Disclosure of notes on composition of board of directors explanatory											Add Notes				
Is there any change in information of board of directors compare to previous quarter															
Wether the listed entity has a Regular Chairperson															
Yes															
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	RANJIT PURI	AJXPP7490B	00052459	Non-Executive - Non Independent Director	Chairperson		01-05-1996			0	0	1		
2	Mr	ADITYA PURI	AAYPP6239Q	00052534	Non-Executive - Non Independent Director	Not Applicable		23-11-1996			0	3	0		
3	Mr	VINOD KUMAR NAGPAL	AACP9016H	00147777	Non-Executive - Independent Director	Not Applicable		15-02-1992		325	2	0	3		
4	Mr	DEV DATT SHARMA	AHAPS9187R	00269699	Non-Executive - Independent Director	Not Applicable		30-03-1998		249	1	2	0		
5	Mrs	REVA KHANNA	AAAPK4117G	00413270	Non-Executive - Independent Director	Not Applicable		09-09-2017		18	1	1	0		

Annexure 1							
II. Composition of Committees							
Disclosure of notes on composition of committees explanatory						Add Notes	
Is there any change in information of committees compare to previous quarter						Yes	
Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00147777	VINOD KUMAR NAGPAL	Non-Executive - Independent Director	Chairperson	26-05-2011		
2	00052534	ADITYA PURI	Non-Executive - Non Independent Director	Member	26-05-2011		
3	00269699	DEV DATT SHARMA	Non-Executive - Independent Director	Member	22-06-2017		
4	00413270	REVA KHANNA	Non-Executive - Independent Director	Member	30-05-2018		
Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00147777	VINOD KUMAR NAGPAL	Non-Executive - Independent Director	Chairperson	19-05-2014		
2	00052534	ADITYA PURI	Non-Executive - Non Independent Director	Member	19-05-2014		
3	00269699	DEV DATT SHARMA	Non-Executive - Independent Director	Member	19-05-2014		
Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00147777	VINOD KUMAR NAGPAL	Non-Executive - Independent Director	Chairperson	26-05-2011		
2	00052534	ADITYA PURI	Non-Executive - Non Independent Director	Member	26-05-2011		
3	00269699	DEV DATT SHARMA	Non-Executive - Independent Director	Member	22-06-2017		
Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson						No	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1		NOT APPLICABLE					
Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson						No	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1		NOT APPLICABLE					
Other Committee							
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks	
1		NOT APPLICABLE					

Annexure 1				
III. Meeting of Board of Directors				
Disclosure of notes on meeting of board of directors explanatory			Add Notes	
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date
		Add Delete		
1		14-11-2018		
2		08-02-2019	85	

Annexure 1								
IV. Meeting of Committees								
Disclosure of notes on meeting of committees explanatory					Add Notes			
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee	Reason for not providing date
		Add Delete						
1	Audit Committee	08-02-2019	Yes	2	14-11-2018	85		
2	Nomination and remuneration committee	08-02-2019	Yes	2				

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions		Add Notes	
Disclosure of notes of material transaction with related party		Add Notes	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		www.yamunasyndicate.com
2	Terms and conditions of appointment of independent directors	Yes		http://www.yamunasyndicate.com/YamunaSyndicateAppointmentLettersIndependentDirectors.html
3	Composition of various committees of board of directors	Yes		http://www.yamunasyndicate.com/CommitteesoftheBoardofDirectors.html
4	Code of conduct of board of directors and senior management personnel	Yes		http://www.yamunasyndicate.com/downloads/Code_of_Conduct_for_members_of_Board_and_Senior_Management.pdf
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		http://www.yamunasyndicate.com/downloads/Vigil_Mechanism.pdf
6	Criteria of making payments to non-executive directors	Yes		http://www.yamunasyndicate.com/CriteriaofMakingPaymentstoNonExecutiveDirectors.html
7	Policy on dealing with related party transactions	Yes		http://www.yamunasyndicate.com/downloads/Policy_on_Related_Party_Transactions.pdf
8	Policy for determining 'material' subsidiaries	NA		
9	Details of familiarization programmes imparted to independent directors	Yes		http://www.yamunasyndicate.com/downloads/Familiarization_Programme_For_Independent_Directors.pdf
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		http://www.yamunasyndicate.com/syndicatecontactus.html
11	email address for grievance redressal and other relevant details	Yes		http://www.yamunasyndicate.com/syndicatecontactus.html
12	Financial results	Yes		http://www.yamunasyndicate.com/yamunasyndicatefinancialreports.html
13	Shareholding pattern	Yes		http://www.yamunasyndicate.com/DistributionSchedule.html
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	New name and the old name of the listed entity	NA		
16	Disclosure of notes on website in terms of Listing Regulations explanatory [Text Block]		Add Notes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Review of Compliance Reports	17(3)	Yes	
5	Plans for orderly succession for appointments	17(4)	Yes	
6	Code of Conduct	17(5)	Yes	
7	Fees/compensation	17(6)	Yes	
8	Minimum Information	17(7)	Yes	
9	Compliance Certificate	17(8)	Yes	
10	Risk Assessment & Management	17(9)	Yes	
11	Performance Evaluation of Independent Directors	17(10)	Yes	
12	Composition of Audit Committee	18(1)	Yes	
13	Meeting of Audit Committee	18(2)	Yes	
14	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
15	Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes	
16	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	
17	Vigil Mechanism	22	Yes	
18	Policy for related party Transaction	23(1),(5),(6),(7) & (8)	Yes	
19	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
20	Approval for material related party transactions	23(4)	NA	
21	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
22	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA	
23	Maximum Directorship & Tenure	25(1) & (2)	Yes	
24	Meeting of independent directors	25(3) & (4)	Yes	
25	Familiarization of independent directors	25(7)	Yes	
26	Memberships in Committees	26(1)	Yes	
27	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
28	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
29	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
Any other information to be provided				

Annexure II		
III. Affirmations		
Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
Any other information to be provided		Add Notes

Annexure II		
1	Name of signatory	ASHISH KUMAR
2	Designation	Company Secretary and Compliance Officer

Signatory Details	
Name of signatory	ASHISH KUMAR
Designation of person	Company Secretary and Compliance Officer
Place	YAMUNA NAGAR
Date	12-04-2019