

| <b>General information about company</b>                       |                              |
|--|------------------------------|
| Scrip code   | 540980                       |
| NSE Symbol   |                              |
| MSEI Symbol  |                              |
| ISIN   | INE868X01014                 |
| Name of the entity   | THE YAMUNA SYNDICATE LIMITED |
| Date of start of financial year                                | 01-04-2020                   |
| Date of end of financial year                                  | 31-03-2021                   |
| Reporting Quarter  | Quarterly                    |
| Date of Report   | 30-06-2020                   |
| Risk management committee                                      | Not Applicable               |
| Market Capitalisation as per immediate previous Financial Year | Top 1000 listed entities     |

## Annexure I

## Annexure I to be submitted by listed entity on quarterly basis

## I. Composition of Board of Directors

| Disclosure of notes on composition of board of directors explanatory                 |                 |                      |            |          |  |                         |                         |               |   |                                    |                             |                        |                   |                                |  |   |   |  |                             |                             |
|--|-----------------|----------------------|------------|----------|--|-------------------------|-------------------------|---------------|---|------------------------------------|-----------------------------|------------------------|-------------------|--------------------------------|--|---|---|--|-----------------------------|-----------------------------|
| Whether the listed entity has a Regular Chairperson                                  |                 |                      |            |          |  |                         |                         |               |   |                                    |                             |                        |                   |                                |  |   |   |  | Yes                         |                             |
| Is there any change in information of board of directors compare to previous quarter |                 |                      |            |          |  |                         |                         |               |   |                                    |                             |                        |                   |                                |  |   |   |  |                             |                             |
| Whether Chairperson is related to MD or CEO  |                 |                      |            |          |  |                         |                         |               |   |                                    |                             |                        |                   |                                |  |   |   |  | No                          |                             |
| Sr   | Title (Mr / Ms) | Name of the Director | PAN        | DIN      | Category 1 of directors                  | Category 2 of directors | Category 3 of directors | Date of Birth | Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations] | Date of passing special resolution | Initial Date of appointment | Date of Re-appointment | Date of cessation | Tenure of director (in months) | No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations) | No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations) | Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) | No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) | Notes for not providing PAN | Notes for not providing DIN |
| 1  | Mr              | RANJIT PURI          | AJXPP7490B | 00052459 | Non-Executive - Non Independent Director | Chairperson             |                         | 04-03-1940    | Yes   | 16-03-2019                         | 01-05-1996                  | 10-08-2019             |                   |                                | 3  | 0   | 0   | 2  |                             |                             |
| 2  | Mr              | ADITYA PURI          | AAYP6239Q  | 00052534 | Non-Executive - Non Independent Director | Not Applicable          |                         | 04-12-1967    | NA  |                                    | 23-11-1996                  | 07-08-2018             |                   |                                | 2  | 0   | 3   | 0  |                             |                             |
| 3  | Mrs             | REVA KHANNA          | AAAPK4117G | 00413270 | Non-Executive - Independent Director     | Not Applicable          |                         | 22-08-1942    | Yes   | 16-03-2019                         | 09-09-2017                  |                        |                   | 33                             | 1  | 1   | 1   | 1  |                             |                             |
| 4  | Mr              | KAPIL BHALLA         | AFBPP3069G | 00758498 | Non-Executive - Independent Director     | Not Applicable          |                         | 24-07-1956    | NA  |                                    | 14-11-2019                  |                        |                   | 8                              | 1  | 1   | 1   | 0  |                             |                             |
| 5  | Mrs             | VANDANA GUPTA        | AMMPG3421B | 08772740 | Non-Executive - Independent Director     | Not Applicable          |                         | 13-06-1962    | NA  |                                    | 27-06-2020                  |                        |                   | 0                              | 1  | 1   | 0   | 0  |                             |                             |
| 6  | Mr              | KISHORE CHATNANI     | AAGPC1198K | 07805465 | Non-Executive - Non Independent Director | Not Applicable          |                         | 20-06-1966    | NA  |                                    | 27-06-2020                  |                        |                   | 0                              | 1  | 0   | 0   | 0  |                             |                             |

## II. Composition of Committees

Annexure 1

|  |     |
|--|-----|
| Disclosure of notes on composition of committees explanatory                 |     |
| Is there any change in information of committees compare to previous quarter | Yes |

### Audit Committee Details

| Whether the Audit Committee has a Regular Chairperson |            |                           |  |                         | Yes                 |                   |         |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|---------|
| Sr  | DIN Number | Name of Committee members | Category 1 of directors                  | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1   | 00413270   | REVA KHANNA               | Non-Executive - Independent Director     | Chairperson             | 10-08-2019          |                   |         |
| 2   | 00052534   | ADITYA PURI               | Non-Executive - Non Independent Director | Member                  | 26-05-2011          |                   |         |
| 3   | 00758498   | KAPIL BHALLA              | Non-Executive - Independent Director     | Member                  | 14-11-2019          |                   |         |

### Nomination and remuneration committee

| Whether the Nomination and remuneration committee has a Regular Chairperson |            |                           |  |                         | Add Notes           |                   |         |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|---------|
| Yes   |            |                           |  |                         |                     |                   |         |
| Sr  | DIN Number | Name of Committee members | Category 1 of directors                  | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1   | 00413270   | REVA KHANNA               | Non-Executive - Independent Director     | Chairperson             | 10-08-2019          |                   |         |
| 2   | 00052534   | ADITYA PURI               | Non-Executive - Non Independent Director | Member                  | 19-05-2014          |                   |         |
| 3   | 00758498   | KAPIL BHALLA              | Non-Executive - Independent Director     | Member                  | 14-11-2019          |                   |         |

### Stakeholders Relationship Committee

| Whether the Stakeholders Relationship Committee has a Regular Chairperson |            |                           |  |                         | Yes                 |                   |         |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|---------|
| Sr  | DIN Number | Name of Committee members | Category 1 of directors                  | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1   | 00052459   | RANJIT PURI               | Non-Executive - Non Independent Director | Chairperson             | 10-08-2019          |                   |         |
| 2   | 00052534   | ADITYA PURI               | Non-Executive - Non Independent Director | Member                  | 26-05-2011          |                   |         |
| 3   | 00413270   | REVA KHANNA               | Non-Executive - Independent Director     | Member                  | 10-08-2019          |                   |         |

### Risk Management Committee (Not Applicable)

| Whether the Risk Management Committee has a Regular Chairperson |            |                           |                         |                         | No                  |                   |         |
|---|------------|---------------------------|-------------------------|-------------------------|---------------------|-------------------|---------|
| Sr  | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
|   |            |                           |                         |                         |                     |                   |         |

### Corporate Social Responsibility Committee (Not Applicable)

| Whether the Corporate Social Responsibility Committee has a Regular Chairperson |            |                           |                         |                         | No                  |                   |         |
|---|------------|---------------------------|-------------------------|-------------------------|---------------------|-------------------|---------|
| Sr  | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
|   |            |                           |                         |                         |                     |                   |         |

### Other Committee (Not Applicable)

| Sr | DIN Number | Name of Committee members | Name of other committee | Category 1 of directors | Category 2 of directors | Remarks |
|----|------------|---------------------------|-------------------------|-------------------------|-------------------------|---------|
|    |            |                           |                         |                         |                         |         |

### III. Meeting of Board of Directors

| Disclosure of notes on meeting of board of directors explanatory |   |   |                              |  |                              |   |  |
|--|---|---|------------------------------|--|------------------------------|---|--|
| Sr   | Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order) | Maximum gap between any two consecutive (in number of days) | Notes for not providing Date | Whether requirement of Quorum met (Yes/No) | Number of Directors present* | No. of Independent Directors attending the meeting* |  |
| 1  | 13-02-2020  |   |                              | Yes  | 4                            | 2   |  |
| 2  | 23-03-2020  |   |                              | Yes  | 3                            | 1   |  |
| 3  | 27-06-2020  | 95  |                              | Yes  | 6                            | 3   |  |

### IV. Meeting of Committees

| Disclosure of notes on meeting of committees explanatory |                                       |   |   |                         |                               |  |                              |   |
|--|---------------------------------------|---|---|-------------------------|-------------------------------|--|------------------------------|---|
| Sr   | Name of Committee                     | Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order) | Maximum gap between any two consecutive (in number of days) | Name of other committee | Reason for not providing date | Whether requirement of Quorum met (Yes/No) | Number of Directors present* | No. of Independent Directors attending the meeting* |
| 1  | Audit Committee                       | 13-02-2020  |   |                         |                               | Yes  | 3                            | 2   |
| 2  | Audit Committee                       | 27-06-2020  | 134   |                         |                               | Yes  | 3                            | 2   |
| 3  | Nomination and remuneration committee | 27-06-2020  |   |                         |                               | Yes  | 3                            | 2   |
| 4  | Stakeholders Relationship Committee   | 23-03-2020  |   |                         |                               | Yes  | 3                            | 1   |

| <b>Annexure 1</b>   |  |                                      |   |
|---|--|--------------------------------------|---|
| <b>V. Related Party Transactions</b>                                  |  |                                      |   |
| <b>Sr</b>   | <b>Subject</b>   | <b>Compliance status (Yes/No/NA)</b> | <b>If status is "No" details of non-compliance may be given here.</b> |
| 1   | Whether prior approval of audit committee obtained   | Yes                                  |   |
| 2   | Whether shareholder approval obtained for material RPT   | NA                                   |   |
| 3   | Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee   | Yes                                  |   |
| <b>Disclosure of notes on related party transactions</b>              |  |                                      |   |
| <b>Disclosure of notes of material transaction with related party</b> |  |                                      |   |
| <b>Annexure 1</b>   |  |                                      |   |
| <b>VI. Affirmations</b>   |  |                                      |   |
| <b>Sr</b>   | <b>Subject</b>   | <b>Compliance status (Yes/No)</b>    |   |
| 1   | The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015  | Yes                                  |   |
| 2   | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015<br><b>a. Audit Committee</b>  | Yes                                  |   |
| 3   | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015.<br><b>b. Nomination &amp; remuneration committee</b>                               | Yes                                  |   |
| 4   | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015.<br><b>c. Stakeholders relationship committee</b>                                   | Yes                                  |   |
| 5   | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015.<br><b>d. Risk management committee (applicable to the top 100 listed entities)</b> | NA                                   |   |
| 6   | The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.  | Yes                                  |   |
| 7   | The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.                            | Yes                                  |   |
| 8   | This report and/or the report submitted in the previous quarter has been placed before Board of Directors.   | Yes                                  |   |
| 9   | <b>Any comments/observations/advice of Board of Directors may be mentioned here:</b>   |                                      |   |

| <b>Annexure 1</b> |                   |  |
|-------------------|-------------------|--|
| <b>Sr</b>         | <b>Subject</b>    | <b>Compliance status</b>                 |
| 1                 | Name of signatory | ASHISH KUMAR                             |
| 2                 | Designation       | Company Secretary and Compliance Officer |

#### **Signatory Details**

|                       |  |
|-----------------------|--|
| Name of signatory     | ASHISH KUMAR                             |
| Designation of person | Company Secretary and Compliance Officer |

| <b>Signatory Details</b> |  |
|--------------------------|--|
| Name of signatory        | ASHISH KUMAR                             |
| Designation of person    | Company Secretary and Compliance Officer |
| Place                    | YAMUNANAGAR                              |
| Date                     | 14-07-2020                               |